



Accredited Africa Training Institute for Capacity Development

Unit FO409, Hatfield Plaza · 1122 Burnett St, Hatfield 0028 · Pretoria, Gauteng · South Africa

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COURSE BROCHURE

Paia And Ect Act Application In Wealth Management Training

Business, Commerce and Management Studies / Finance, Economics and Accounting

Unit Standard 113928 · NQF Level 4 · 2 Credits · 1 Day

COURSE OVERVIEW

This course equips wealth management professionals with the practical skills to apply the Protection of Personal Information Act (POPIA) and the Electronic Communications and Transactions Act (ECT Act) within a wealth management context. Participants will learn to safeguard client data, manage electronic transactions compliantly, and mitigate legal risks in financial advisory practices.

Category	Business, Commerce and Management Studies
Subfield	Finance, Economics and Accounting
Unit Standard	113928
Accreditation	SAQA Accredited · NQF Level 4 · 2 Credits
Duration	1 day
Training Method	Online, On-Campus, In-House
Certificate	Issued via AATICD LMS – verifiable online

LEARNING OUTCOMES

- Apply the key provisions of POPIA and ECT Act to wealth management processes and client interactions.
- Analyze the legal requirements for processing personal information in financial advisory contexts.
- Implement data protection measures to ensure compliance with POPIA in client record-keeping.
- Evaluate electronic transaction protocols to align with ECT Act requirements for validity and security.
- Design a compliance framework for managing client consent, data access, and breach notification.
- Demonstrate the ability to conduct a POPIA compliance audit for a wealth management practice.

WHO SHOULD ATTEND

- Wealth managers, financial advisors, compliance officers, and administrative staff in the financial services sector who handle personal client information and electronic transactions.

COURSE OUTLINE

Day 1: Application of PAIA and ECT Acts in Wealth Management

- Overview of PAIA: purpose, scope, and key definitions.
- PAIA requirements for record-keeping, access requests, and fees.
- Application of PAIA in wealth management: handling client information requests.
- Overview of the ECT Act: purpose, scope, and key definitions.
- ECT Act provisions on electronic contracts, signatures, and data messages.
- Application of ECT Act in wealth management: electronic communications and transactions.
- Compliance obligations: data protection, consent, and record retention.
- Risk management and best practices for integrating both Acts.

ASSESSMENT & CERTIFICATION

Delegates are assessed through exercises and a final test. A mark of **50% or above** earns an **AATICD Certificate of Completion**, issued digitally with a unique verification code. This course carries **2 NQF credits** at **NQF Level 4**.

PRICING (PER DELEGATE, EX-VAT)

Delegates	Training Method	Price per Delegate	Total
1	Online	R 12,800.00	R 12,800.00
1	In-House	R 16,600.00	R 16,600.00
1	On-Campus (Pretoria)	R 19,200.00	R 19,200.00

UPCOMING SESSIONS

Start	End	Method	Venue
10 Aug 2026	10 Aug 2026	On-Campus	Abu Dhabi, UAE
11 Aug 2026	11 Aug 2026	On-Campus	Durban, South Africa
11 Aug 2026	11 Aug 2026	On-Campus	Mauritius
12 Aug 2026	12 Aug 2026	On-Campus	Cape Town, South Africa
13 Aug 2026	13 Aug 2026	On-Campus	Dubai, UAE
14 Aug 2026	14 Aug 2026	On-Campus	Pretoria, South Africa
17 Aug 2026	17 Aug 2026	On-Campus	Durban, South Africa
18 Aug 2026	18 Aug 2026	On-Campus	Shenzhen, China

Contact us if no suitable date is listed – on-demand sessions can be arranged for groups.

HOW TO GET A QUOTE OR APPLY

1. **Get an instant quotation online:** visit www.aaticd.co.za, open the page for this course (Unit Standard 113928) and click **Get A Quote / Apply**. Select your training method and number of delegates – your quotation is generated immediately and emailed to you with the course brochure attached.
2. **Apply by email:** send the course title, your preferred training method (Online, In-House or On-Campus Pretoria), the number of delegates and your preferred dates to apply@aaticd.co.za – our team will reply with a formal quotation.
3. **Apply by phone or WhatsApp:** call **+27 12 004 8389** or WhatsApp **+27 65 077 6310** and we will prepare your quotation and reserve your seats.
4. **Confirm your booking:** accept the quotation and settle the invoice. As soon as payment is confirmed your delegates are enrolled and receive their AATICD LMS login details by email, along with joining instructions for their chosen training method.

Group discounts apply automatically – the more delegates you enrol, the lower the price per delegate. No payment is required to request a quotation.

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