



Accredited Africa Training Institute for Capacity Development

Unit FO409, Hatfield Plaza · 1122 Burnett St, Hatfield 0028 · Pretoria, Gauteng · South Africa

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COURSE BROCHURE

FAIS Act Impact on Financial Services Sub-Sectors

Business, Commerce and Management Studies / Finance, Economics and Accounting

Unit Standard 242584 · NQF Level 4 · 2 Credits · 1 Day

COURSE OVERVIEW

This course provides financial services professionals with a comprehensive understanding of the Financial Advisory and Intermediary Services Act (FAIS) 2002 and its specific impact on their sub-sector. Participants will learn the legal requirements, ethical standards, and regulatory obligations to ensure compliance and protect consumer interests. The course aims to equip learners with the knowledge to apply FAIS principles effectively within their daily operations.

Category	Business, Commerce and Management Studies
Subfield	Finance, Economics and Accounting
Unit Standard	242584
Accreditation	SAQA Accredited · NQF Level 4 · 2 Credits
Duration	1 day
Training Method	Online, On-Campus, In-House
Certificate	Issued via AATICD LMS – verifiable online

LEARNING OUTCOMES

- Apply the key provisions of the FAIS Act to a specific financial services sub-sector.
- Analyze the impact of FAIS on business practices, including advertising, advice, and intermediary services.
- Evaluate the ethical and professional standards required under FAIS for fit and proper persons.
- Implement compliance procedures to meet FAIS requirements, including record-keeping and disclosure.
- Demonstrate knowledge of the role of the Financial Sector Conduct Authority (FSCA) in enforcing FAIS.
- Identify potential consequences of non-compliance with FAIS for individuals and organizations.

WHO SHOULD ATTEND

- This course is designed for financial advisors, intermediaries, compliance officers, and other professionals operating within a specific financial services sub-sector (e.g., insurance, banking, investments) who need to understand FAIS compliance requirements.

COURSE OUTLINE

Day 1: Understanding the FAIS Act and Its Impact on Financial Services Sub-Sectors

- Overview of the FAIS Act: background, objectives, and key definitions.
- Categories of financial services and products covered.
- Sub-sectors: banking, insurance, investments, and pension funds.
- Licensing requirements and fit and proper criteria.
- Code of Conduct: client care, disclosure, and record-keeping.
- Role of the Financial Sector Conduct Authority (FSCA).
- Consequences of non-compliance: penalties, debarment, and legal action.
- Case studies illustrating FAIS Act application in different sub-sectors.

ASSESSMENT & CERTIFICATION

Delegates are assessed through exercises and a final test. A mark of **50% or above** earns an **AATICD Certificate of Completion**, issued digitally with a unique verification code. This course carries **2 NQF credits** at **NQF Level 4**.

PRICING (PER DELEGATE, EX-VAT)

Delegates	Training Method	Price per Delegate	Total
1	Online	R 12,800.00	R 12,800.00
1	In-House	R 16,600.00	R 16,600.00
1	On-Campus (Pretoria)	R 19,200.00	R 19,200.00

UPCOMING SESSIONS

Start	End	Method	Venue
11 Aug 2026	11 Aug 2026	On-Campus	Pretoria, South Africa
12 Aug 2026	12 Aug 2026	On-Campus	Abu Dhabi, UAE
13 Aug 2026	13 Aug 2026	On-Campus	Durban, South Africa
13 Aug 2026	13 Aug 2026	On-Campus	Mauritius
14 Aug 2026	14 Aug 2026	On-Campus	Cape Town, South Africa
17 Aug 2026	17 Aug 2026	On-Campus	Pretoria, South Africa
18 Aug 2026	18 Aug 2026	On-Campus	Abu Dhabi, UAE
19 Aug 2026	19 Aug 2026	On-Campus	Durban, South Africa

Contact us if no suitable date is listed – on-demand sessions can be arranged for groups.

HOW TO GET A QUOTE OR APPLY

1. **Get an instant quotation online:** visit www.aaticd.co.za, open the page for this course (Unit Standard 242584) and click **Get A Quote / Apply**. Select your training method and number of delegates – your quotation is generated immediately and emailed to you with the course brochure attached.
2. **Apply by email:** send the course title, your preferred training method (Online, In-House or On-Campus Pretoria), the number of delegates and your preferred dates to apply@aaticd.co.za – our team will reply with a formal quotation.
3. **Apply by phone or WhatsApp:** call +27 12 004 8389 or WhatsApp +27 65 077 6310 and we will prepare your quotation and reserve your seats.
4. **Confirm your booking:** accept the quotation and settle the invoice. As soon as payment is confirmed your delegates are enrolled and receive their AATICD LMS login details by email, along with joining instructions for their chosen training method.

Group discounts apply automatically – the more delegates you enrol, the lower the price per delegate. No payment is required to request a quotation.

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