



Accredited Africa Training Institute for Capacity Development

Unit FO409, Hatfield Plaza · 1122 Burnett St, Hatfield 0028 · Pretoria, Gauteng · South Africa

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COURSE BROCHURE

Compliance Management

Custom Courses / Governance, Risk and Compliance

Unit Standard AATICD-0160 · NQF Level 5 · 10 Credits · 5 Days

COURSE OVERVIEW

This course equips learners with the knowledge and skills to manage compliance within an organisation. It covers the legal and regulatory framework, risk assessment, and implementation of compliance programmes to ensure adherence to relevant laws and standards.

Category	Custom Courses
Subfield	Governance, Risk and Compliance
Unit Standard	AATICD-0160
Accreditation	SAQA Accredited · NQF Level 5 · 10 Credits
Duration	5 days
Training Method	Online, On-Campus, In-House
Certificate	Issued via AATICD LMS – verifiable online

LEARNING OUTCOMES

- Apply relevant legislation and regulatory requirements to manage compliance within an organisation.
- Analyse compliance risks and develop strategies to mitigate them.
- Evaluate the effectiveness of existing compliance policies and procedures.
- Design a compliance management framework tailored to an organisation's context.
- Implement monitoring and reporting mechanisms to ensure ongoing compliance.
- Demonstrate the ability to advise stakeholders on compliance obligations.

WHO SHOULD ATTEND

- This course is designed for managers, compliance officers, and anyone responsible for ensuring organisational compliance with legal and regulatory requirements in South Africa.

COURSE OUTLINE

Day 1: Foundations of Compliance Management

- Introduction to compliance management: concepts and scope.
- Overview of South African regulatory environment: Companies Act, King IV, POPIA, and sector-specific regulations.
- The compliance function: structure, positioning, and independence.
- Key stakeholders: regulators, management, board, and employees.
- Consequences of non-compliance: legal, financial, and reputational risks.
- Ethics and corporate governance principles.
- Introduction to compliance risk management.
- Case study: real-world examples of compliance failures.

Day 2: Compliance Risk Assessment and Controls

- Compliance risk assessment framework: identification, analysis, and evaluation.
- Risk appetite and tolerance levels.
- Tools for risk assessment: checklists, questionnaires, workshops.
- Internal controls: preventive, detective, and corrective.
- Designing control activities: policies, procedures, and systems.
- Documentation: compliance risk register and control matrices.
- Monitoring and testing controls.
- Practical exercise: conducting a risk assessment for a sample organisation.

Day 3: Compliance Monitoring and Reporting

- Compliance monitoring framework: scope, frequency, and methods.
- Planning and executing compliance reviews: checklists and sampling.
- Reporting structures: dashboards, reports, and presentations.
- Key risk indicators (KRIs) and key performance indicators (KPIs) for compliance.
- Escalation procedures for non-compliance.
- Whistleblowing and incident management.
- Continuous improvement: corrective actions and follow-up.
- Practical exercise: drafting a compliance monitoring report.

Day 4: Regulatory Engagement and Compliance Culture

- Building relationships with regulators: communication and transparency.
- Preparing for and managing regulatory inspections and audits.
- Responding to regulatory inquiries and enforcement actions.
- Compliance culture: tone at the top, employee engagement.
- Training and awareness programmes: design and delivery.
- Managing conflicts of interest and ethics.
- Role of technology in compliance: GRC systems and automation.
- Practical exercise: role-playing a regulatory inspection scenario.

Day 5: Integrated Compliance Management and Future Trends

- Integrating compliance with ERM, internal audit, and legal functions.
- Designing a compliance management framework: policy, process, and people.
- Compliance programme maturity model.
- Emerging trends: ESG compliance, data privacy, AI and compliance.
- Challenges: regulatory complexity, resource constraints, remote work.

- Career development in compliance: certifications and continuing education.
- Final assessment: developing a compliance programme for a case study.
- Course wrap-up and evaluation.

ASSESSMENT & CERTIFICATION

Delegates are assessed through exercises and a final test. A mark of **50% or above** earns an **AATICD Certificate of Completion**, issued digitally with a unique verification code. This course carries **10 NQF credits** at **NQF Level 5**.

PRICING (PER DELEGATE, EX-VAT)

Delegates	Training Method	Price per Delegate	Total
1	Online	R 17,700.00	R 17,700.00
1	In-House	R 23,100.00	R 23,100.00
1	On-Campus (Pretoria)	R 26,700.00	R 26,700.00

UPCOMING SESSIONS

Start	End	Method	Venue
24 Aug 2026	28 Aug 2026	On-Campus	Victoria Falls, Zimbabwe
24 Aug 2026	28 Aug 2026	On-Campus	Bangkok, Thailand
31 Aug 2026	04 Sep 2026	On-Campus	Lusaka, Zambia
31 Aug 2026	04 Sep 2026	On-Campus	London, UK
07 Sep 2026	11 Sep 2026	On-Campus	Mauritius
07 Sep 2026	11 Sep 2026	On-Campus	Pretoria, South Africa
07 Sep 2026	11 Sep 2026	On-Campus	Abu Dhabi, UAE
07 Sep 2026	11 Sep 2026	On-Campus	Harare, Zimbabwe

Contact us if no suitable date is listed – on-demand sessions can be arranged for groups.

HOW TO GET A QUOTE OR APPLY

1. **Get an instant quotation online:** visit www.aaticd.co.za, open the page for this course (Unit Standard AATICD-0160) and click **Get A Quote / Apply**. Select your training method and number of delegates – your quotation is generated immediately and emailed to you with the course brochure attached.
2. **Apply by email:** send the course title, your preferred training method (Online, In-House or On-Campus Pretoria), the number of delegates and your preferred dates to apply@aaticd.co.za – our team will reply with a formal quotation.
3. **Apply by phone or WhatsApp:** call **+27 12 004 8389** or WhatsApp **+27 65 077 6310** and we will prepare your quotation and reserve your seats.
4. **Confirm your booking:** accept the quotation and settle the invoice. As soon as payment is confirmed your delegates are enrolled and receive their AATICD LMS login details by email, along with joining instructions for their chosen training method.

Group discounts apply automatically – the more delegates you enrol, the lower the price per delegate. No payment is required to request a quotation.

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