



Accredited Africa Training Institute for Capacity Development

Unit FO409, Hatfield Plaza · 1122 Burnett St, Hatfield 0028 · Pretoria, Gauteng · South Africa

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COURSE BROCHURE

Regulatory Compliance

Custom Courses / Governance, Risk and Compliance

Unit Standard AATICD-0161 · NQF Level 5 · 10 Credits · 5 Days

COURSE OVERVIEW

This course equips learners with the knowledge and skills to understand and apply regulatory compliance frameworks within a South African corporate context. It covers key legislation, regulatory bodies, and the processes for ensuring organisational adherence to legal requirements. Participants will learn to identify compliance risks, implement controls, and foster a culture of compliance.

Category	Custom Courses
Subfield	Governance, Risk and Compliance
Unit Standard	AATICD-0161
Accreditation	SAQA Accredited · NQF Level 5 · 10 Credits
Duration	5 days
Training Method	Online, On-Campus, In-House
Certificate	Issued via AATICD LMS – verifiable online

LEARNING OUTCOMES

- Apply relevant South African legislation and regulatory frameworks to organisational policies and procedures.
- Analyse compliance risks and evaluate the effectiveness of existing controls.
- Design and implement a compliance management plan for a specific business unit or function.
- Demonstrate knowledge of key regulatory bodies and their roles in enforcement.
- Evaluate the impact of non-compliance on organisational reputation and financial performance.

WHO SHOULD ATTEND

- This course is designed for managers, team leaders, compliance officers, and any professionals responsible for ensuring their organisation meets regulatory requirements.
- It is also suitable for individuals seeking to enhance their understanding of compliance in the workplace.

COURSE OUTLINE

Day 1: Introduction to Regulatory Compliance

- What is regulatory compliance?
- Overview of South African regulatory landscape
- Key legislation: OHSA, NEMA, BCEA, etc.
- Regulatory bodies: SAHPRA, DOL, DWS, etc.
- Consequences of non-compliance: fines, imprisonment, reputational damage
- Voluntary compliance vs. mandatory compliance
- Case studies on compliance failures

Day 2: Compliance Frameworks and Standards

- Overview of ISO 19600 and ISO 37301
- Compliance management system components
- Developing compliance policies and procedures
- Risk-based approach to compliance
- Internal controls and monitoring
- Documentation and record-keeping requirements
- Roles and responsibilities in compliance
- Case study: Implementing a compliance framework

Day 3: Risk Assessment and Compliance Monitoring

- Compliance risk assessment methodology
- Identifying and analyzing compliance risks
- Risk rating and prioritization
- Monitoring techniques: audits, inspections, reviews
- Key performance indicators for compliance
- Reporting and escalation procedures
- Whistleblowing mechanisms
- Practical exercise: Risk assessment workshop

Day 4: Regulatory Compliance in Practice

- Sector-specific regulations: POPIA, FICA, NCA, etc.
- Compliance in finance: FAIS, FSB regulations
- Compliance in healthcare: SAHPRA, HPCSA
- Compliance in manufacturing: OHSA, NEMA
- Developing compliance checklists
- Conducting a compliance audit: steps and techniques
- Audit reporting and corrective actions
- Role play: Audit scenario

Day 5: Compliance Culture and Continuous Improvement

- Building a compliance culture
- Ethics and integrity in the workplace
- Training and awareness programs
- Continuous improvement: PDCA cycle
- Keeping up with regulatory changes
- Emerging trends in compliance (e.g., AI, ESG)

- Final assessment and course wrap-up
- Action planning for implementation

ASSESSMENT & CERTIFICATION

Delegates are assessed through exercises and a final test. A mark of **50% or above** earns an **AATICD Certificate of Completion**, issued digitally with a unique verification code. This course carries **10 NQF credits** at **NQF Level 5**.

PRICING (PER DELEGATE, EX-VAT)

Delegates	Training Method	Price per Delegate	Total
1	Online	R 17,700.00	R 17,700.00
1	In-House	R 23,100.00	R 23,100.00
1	On-Campus (Pretoria)	R 26,700.00	R 26,700.00

UPCOMING SESSIONS

Start	End	Method	Venue
24 Aug 2026	28 Aug 2026	On-Campus	Victoria Falls, Zimbabwe
24 Aug 2026	28 Aug 2026	On-Campus	Bangkok, Thailand
31 Aug 2026	04 Sep 2026	On-Campus	Lusaka, Zambia
31 Aug 2026	04 Sep 2026	On-Campus	London, UK
07 Sep 2026	11 Sep 2026	On-Campus	Mauritius
07 Sep 2026	11 Sep 2026	On-Campus	Pretoria, South Africa
07 Sep 2026	11 Sep 2026	On-Campus	Abu Dhabi, UAE
07 Sep 2026	11 Sep 2026	On-Campus	Harare, Zimbabwe

Contact us if no suitable date is listed – on-demand sessions can be arranged for groups.

HOW TO GET A QUOTE OR APPLY

1. **Get an instant quotation online:** visit www.aaticd.co.za, open the page for this course (Unit Standard AATICD-0161) and click **Get A Quote / Apply**. Select your training method and number of delegates – your quotation is generated immediately and emailed to you with the course brochure attached.
2. **Apply by email:** send the course title, your preferred training method (Online, In-House or On-Campus Pretoria), the number of delegates and your preferred dates to apply@aaticd.co.za – our team will reply with a formal quotation.
3. **Apply by phone or WhatsApp:** call +27 12 004 8389 or WhatsApp +27 65 077 6310 and we will prepare your quotation and reserve your seats.
4. **Confirm your booking:** accept the quotation and settle the invoice. As soon as payment is confirmed your delegates are enrolled and receive their AATICD LMS login details by email, along with joining instructions for their chosen training method.

Group discounts apply automatically – the more delegates you enrol, the lower the price per delegate. No payment is required to request a quotation.

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